

# Inhalation studies using carbon nanotubes



**Rachel Smith, HPA**

Workshop on manufacture, use and disposal of CNTs

17<sup>th</sup> August 2011

23 August 2011

# HPA Role



**The Health Protection Agency is an independent UK organisation set up by the government to protect the public from threats to their health from infectious diseases and environmental hazards.**

**It does this by providing advice and information to national and local government, health professionals and the general public.**

**The Agency's advice, information and services are underpinned by evidence-based research.**

# HPA Organisation



*Centre for Infections (CFI) (communicable disease surveillance and specialist microbiology)*

*Centre for Emergency Preparedness and Response (CEPR) (applied microbiological research and emergency response)*

*The National Institute of Biological Standards and Control (NIBSC)  
(standardisation and control of biological medicines such as vaccines)*

**The Centre for Radiation, Chemical and Environmental Hazards (CRCE)**

# HPA CRCE



# HPA and Nanotechnology



**Role to advise government departments and others on the potential hazards and risks to human health associated with exposure to nanomaterials**

**Responded to the challenge of the health concerns and knowledge gaps associated with nanomaterials by establishing the**

## **National Nanotoxicology Research Centre**

**To develop facilities and expertise to undertake a wide range of in vitro and in vivo studies, supporting experimental work and modelling studies**

# NNRC Research Areas I



## Toxicology

### In vivo studies

Inhalation (radioactive toxico-kinetic studies, HRV, CNT)

Ingestion

### In vitro studies

Dermal

Others, eg haemolysis



# Research Areas II

## **'Physics' studies**

Aerosol deposition behaviour (focus on carbon nanotubes)

Interaction of NPs with lung surfactant (PhD)

## **Modelling**

Lung deposition of fibres (building on modelling for spherical particles)

Toxico-kinetics (PBPK) models for NPs

## **Exposures**

UK population exposure to NPs

# NNRC Collaborating Group



**Professor Jon Ayres, University of Birmingham**

**Professor Kelly Berube, Cardiff University**

**Dr Alison Crossley, Oxford University, Begbroke Science Park**

**Professor Ken Donaldson, University of Edinburgh**

**Dr Allen Gibbs, Llandough Hospital, Cardiff**

**Dr Rosemary Gibson, HSL**

**Dr Paul Harrison, PTCH Consultancy Ltd**

**Professor Roy Harrison, University of Birmingham**

**Professor Stephen Holgate, Southampton General Hospital**

**Professor Vyvyan Howard, University of Ulster**

**Dr J Jenner, dstl Porton Down**

**Dr Tim Jones, Cardiff University**

**Professor Frank Kelly, Kings College London**

**Professor Kevin Kendall, University of Birmingham**

**Dr Michaela Kendall, University of Exeter**

**Dr Wolfgang Kreyling, Helmholtz Institute, Munich**

**Dr Marcello Lotti, University of Padua**

**MRC Toxicology Unit, University of Leicester**

**Dr Margaret Saunders, University Hospital, Bristol**

**Professor A Seaton, Institute of Occupational Medicine, Edinburgh**

**Professor Vicki Stone, Heriot-Watt University Edinburgh**

**Professor Terry Tetley, Imperial College London**

**Dr Lang Tran, Institute of Occupational Medicine, Edinburgh**

# External Projects



## RESAC

Respiratory effects of silver and carbon nanomaterials

US NIEHS Funded (£1.83M): 5 year study, UK Lead: Professor Terry Tetley, Imperial College.

Nanomaterials: silver ('spheres' and nanowires) and carbon (carbon nanotubes, fullerene and carbon black).

## RAMNUC

Risk Assessment of Manufactured nanomaterials used in consumer products

Joint NERC-US EPA Funded (£1.38M), 4 year study, UK Lead: Professor Terry Tetley, Imperial College.

Nanomaterials: Silver, CeO<sub>2</sub>, ZnO

## FABLE

From Airborne Exposures to Biological Effects: the impact of nano-particles on health

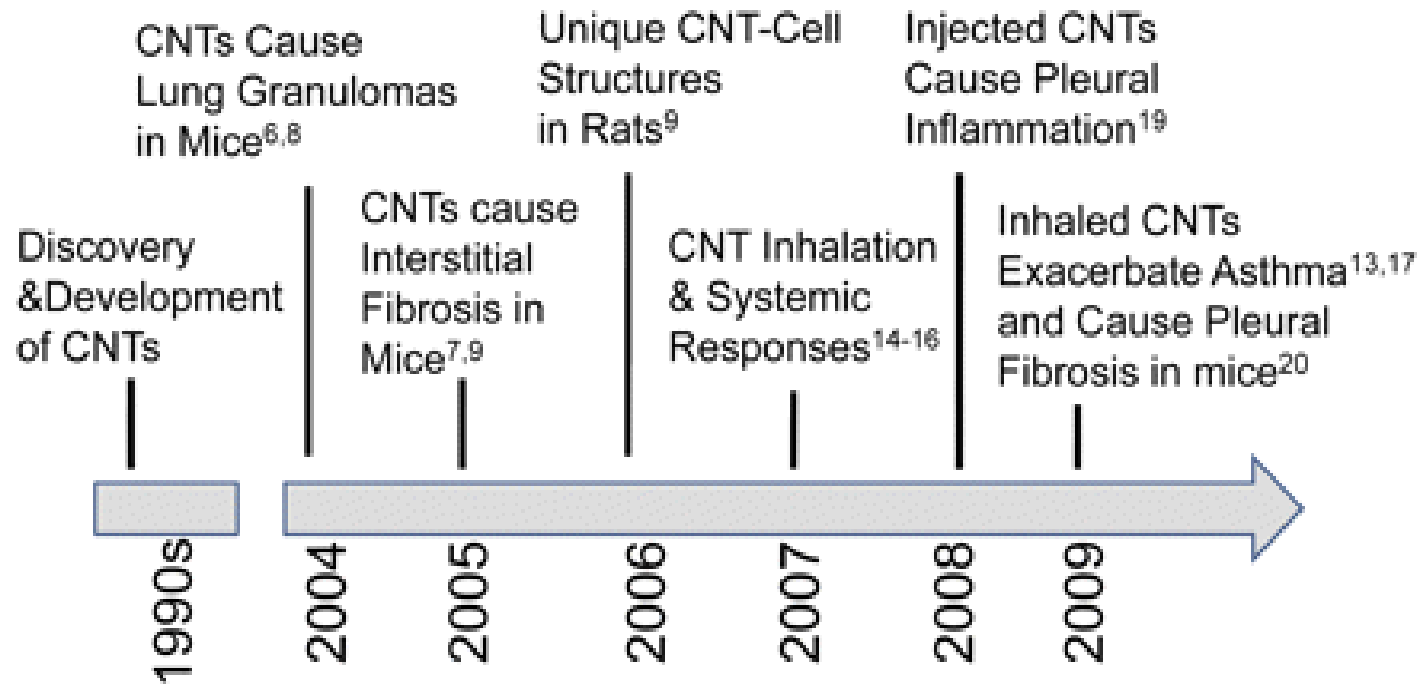
NERC/MRC Funded (£2.12M), 3.5 year study, Lead: Professor Jon Ayres, Birmingham University

Nanomaterials addressed in study: CeO<sub>2</sub>, V<sub>2</sub>O<sub>5</sub> and ZnO (vehicle exhaust proxy 'sphericalish')

# Why are we interested in carbon nanotubes?

- Inflammatory responses
- Granulomas
- Fibrosis
- Systemic immune responses
- Exacerbates allergic responses
- Cardiac effects?
- Mesothelioma?

# Timeline of CNT toxicological studies on rodents

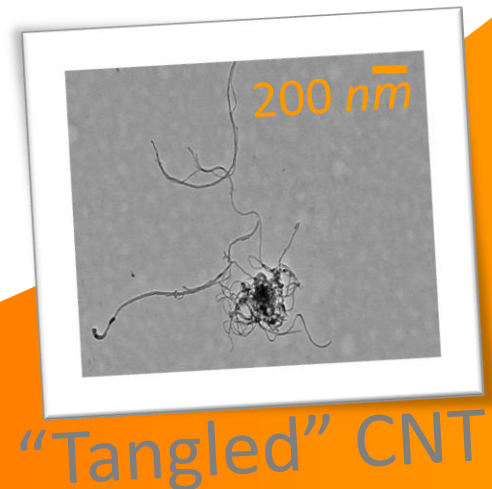
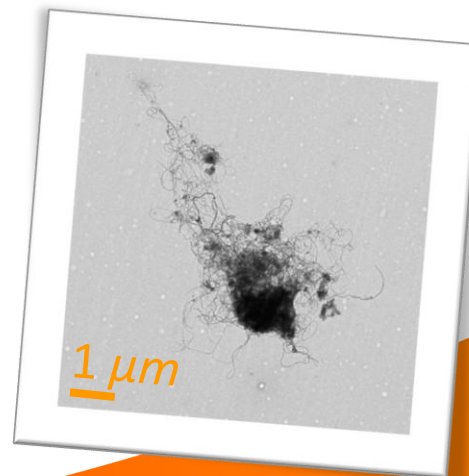
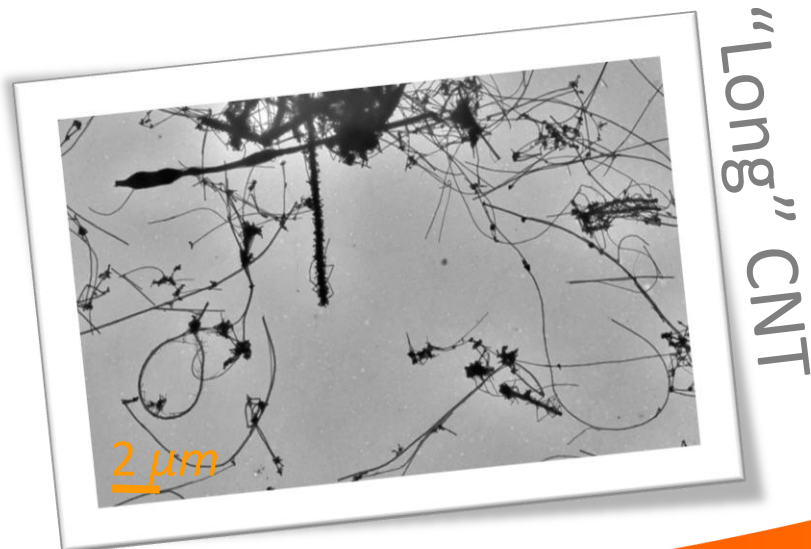


Bonner J (2010), Proceedings of the American Thoracic Society

# Mesothelioma?

Poland et al. (2008) *Nature Nanotech.*, 3, 423-428

- **Long** ( $> 15 \mu\text{m}$ ), relatively **straight** CNT introduced into the abdominal cavity of mice displayed “**asbestos-like**” pathogenic behaviour.
- The effect **was not** seen with **shorter** and **tangled** CNT.



# UK Nanotechnologies Strategy

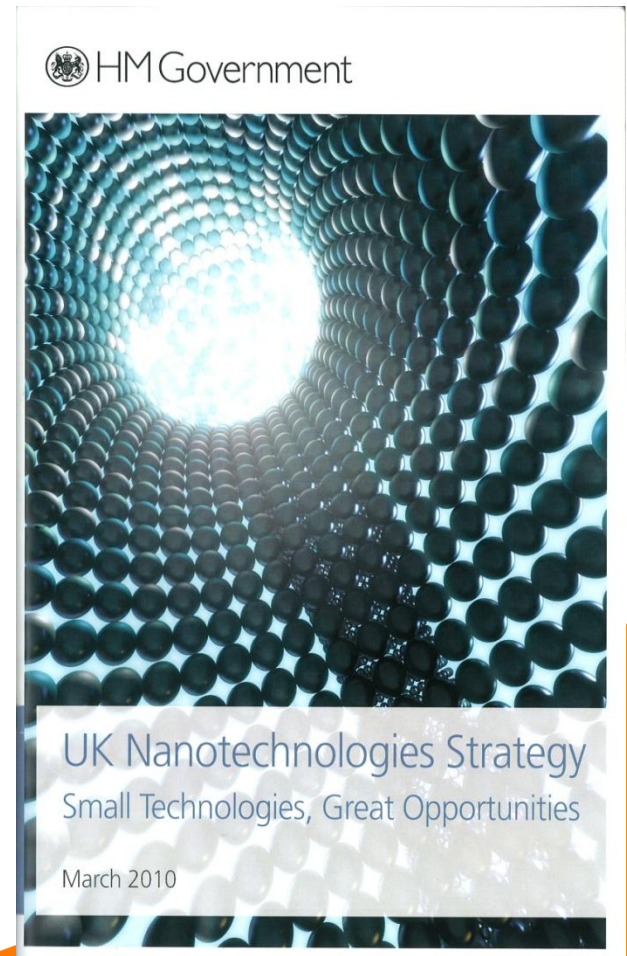


## Research Priorities in UK Nanotechnologies Strategy

Inhalation, Ingestion, Dermal Uptake, Transplacental movement

- *In vitro* studies – Interaction of NPs with cells and surface fluids at sites of entry (epidermal/lung/gut epithelium, endothelial cells of capillaries), dispersion across tissue barriers, effects on cells following uptake of NPs, mutagenicity, carcinogenicity.
- *In vivo* studies – Toxicokinetics (dispersion, storage, excretion), dispersion across blood-brain and placental barriers, mutagenicity studies, reproductive toxicity studies, volunteer studies e.g. intrapulmonary inflammatory responses.

Priority nanomaterials – High Aspect Ratio Nanoparticles (HARNs), Nanosilver, Metal Oxides



# NNRC – proposed work with CNT



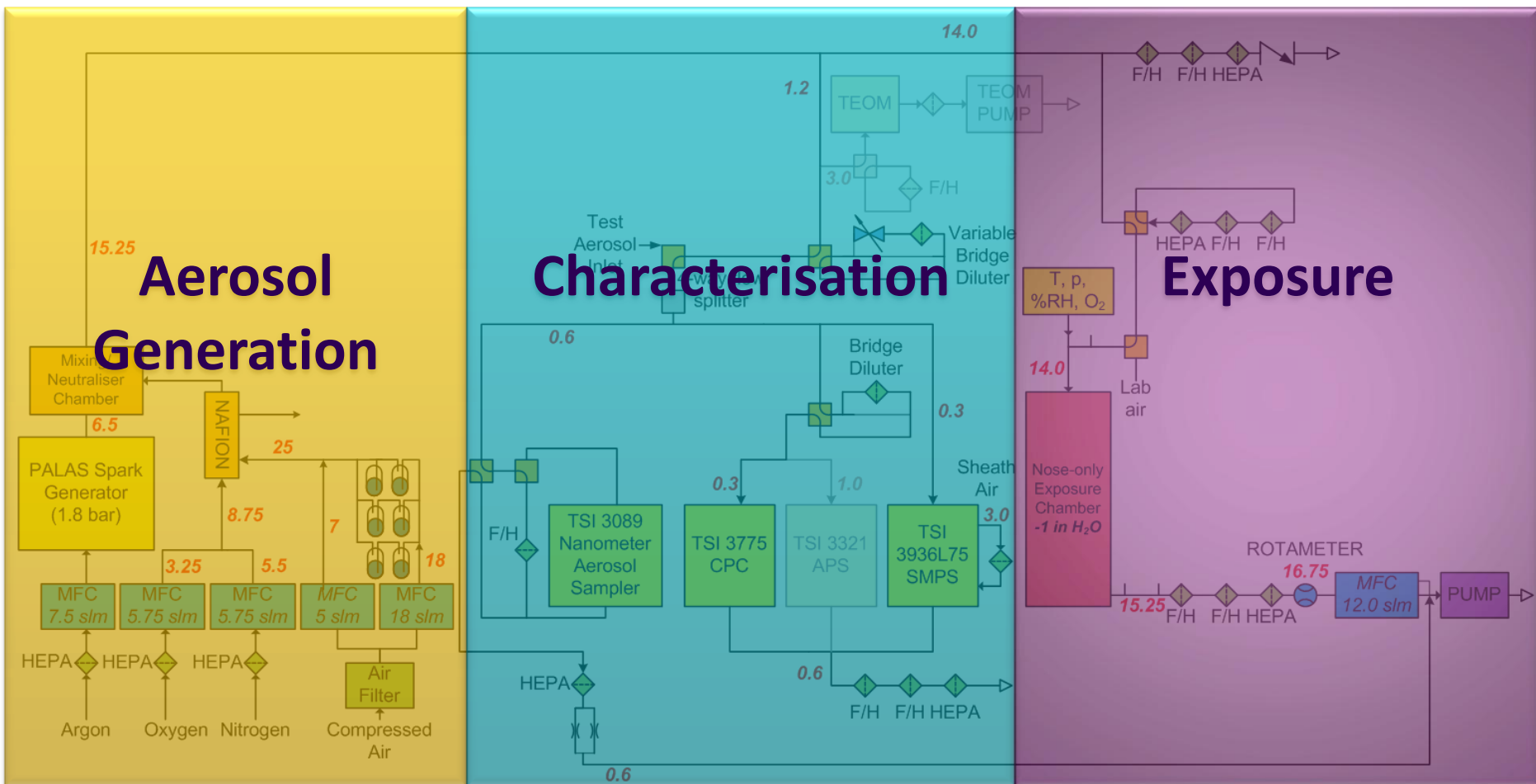
## Inhalation Toxicology Studies

2011 Set-up exposure system

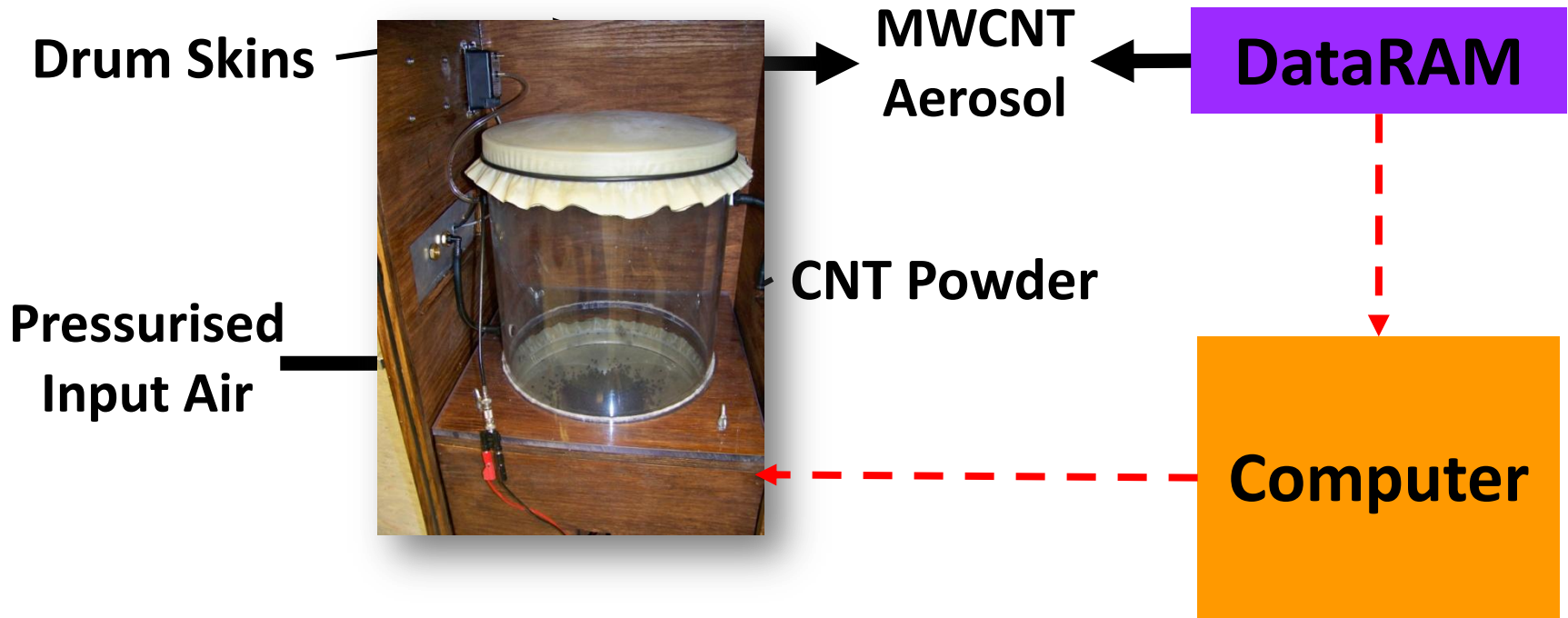
2012 Inhalation studies

Theoretical/Modelling studies of aerodynamic and deposition behaviour of CNT

# Aerosol Generation, Characterisation and Exposure System



# Acoustic Aerosol Generator



# NNRC – proposed work with CNT



## Inhalation Toxicology Studies

### **Theoretical/Modelling studies of aerodynamic and deposition behaviour of CNT**

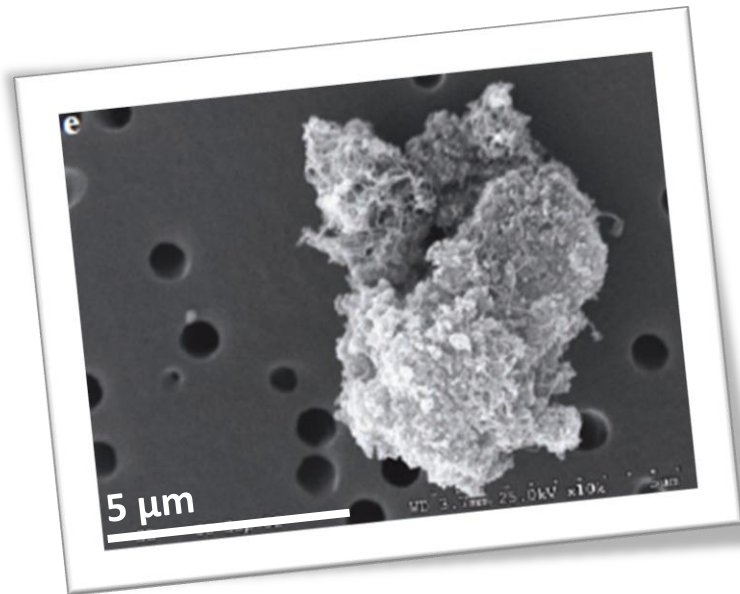
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| 2011 | Review forms of CNT                                                          |
|      | Theoretical evaluation of aerodynamic and diffusion equivalent diameters     |
|      | Initial assessment of suitability of lung deposition models for use with CNT |
| 2012 | Measurements of aerodynamic/mobility diameters                               |
|      | Development of appropriate transport and deposition modelling approaches     |

# CNT Manufacturing Workplace Air Monitoring Studies

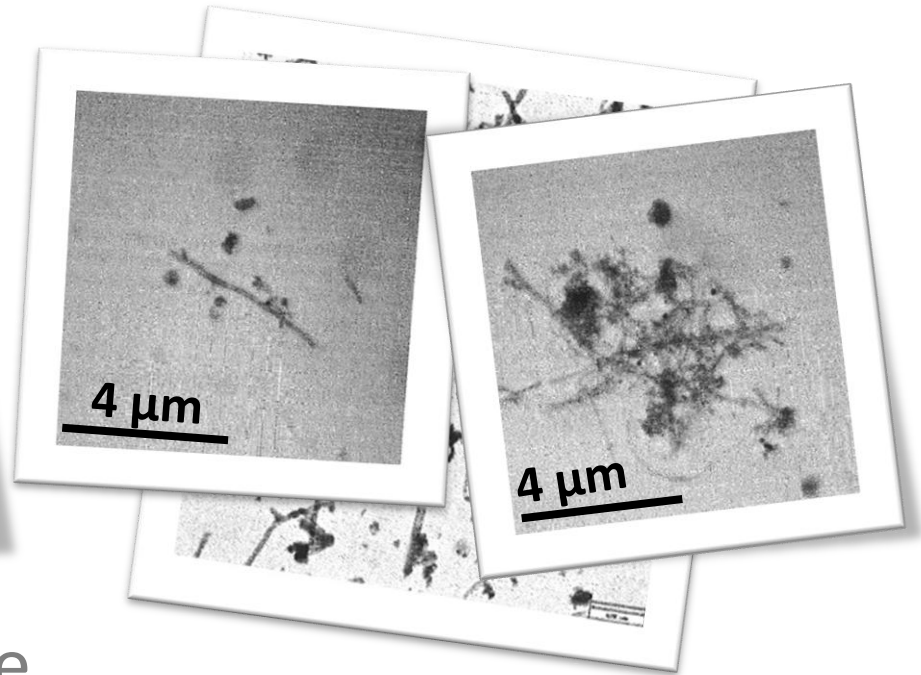


Authors	Year	CNT Type	Facility	Activates
Maynard et al.	2004	SWCNT (HiPCO and laser ablated)	Production (research scale)  Laboratory	Removing CNT from production vessel  Agitation of CNT by vortex
Han et al.	2008	MWCNT	Production (research scale)	Recovering CNT; blending composites
Bello et al.	2008	MWCNT composite	Production (research scale)	Removal and detaching of CNTs
Bello et al.	2009	MWCNT composite	Research	Machining (dry and wet cutting/band- saw/rotary cutting wheel)
Bello et al.	2010	MWCNT composite	Research	Drilling of CNT composites
Lee et al.	2010	MWCNT	Lab, research and industry	Various, including production; handling; ultra-sonication; spraying
Johnson et al.	2010	Raw and Hydroxylated MWCNT	Laboratory	Weighing, transferring to liquid and ultra-sonication

# Real CNT Aerosol Particles

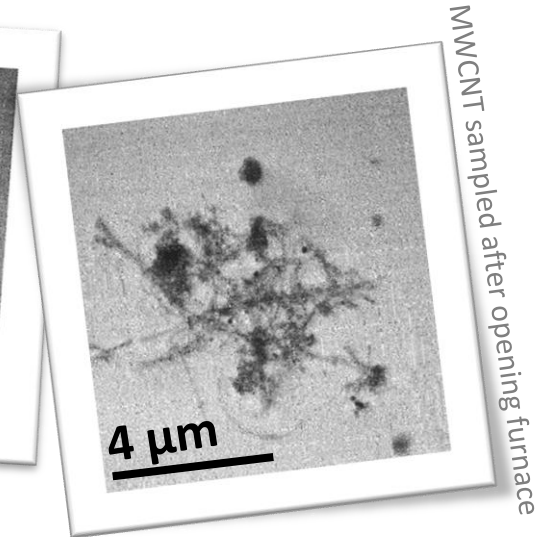
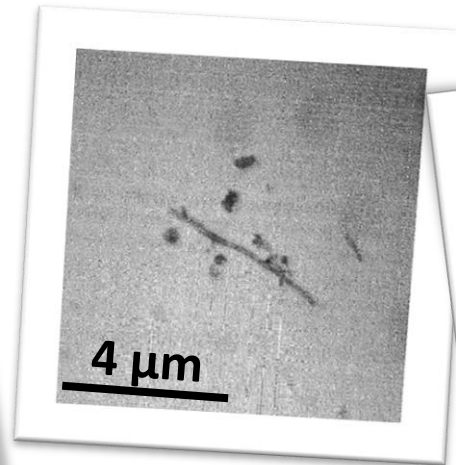
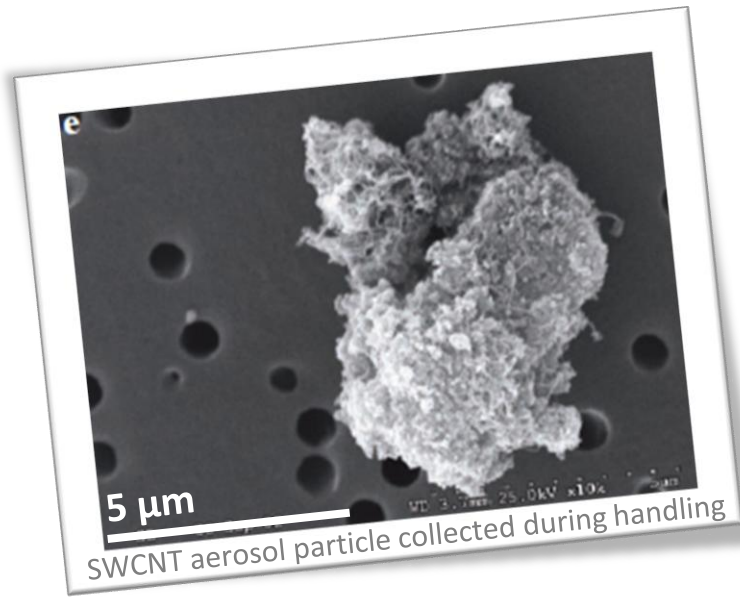


**SWCNT** aerosol particle  
collected during handling  
of laser ablation  
nanotube material  
(Maynard et al. 2004)



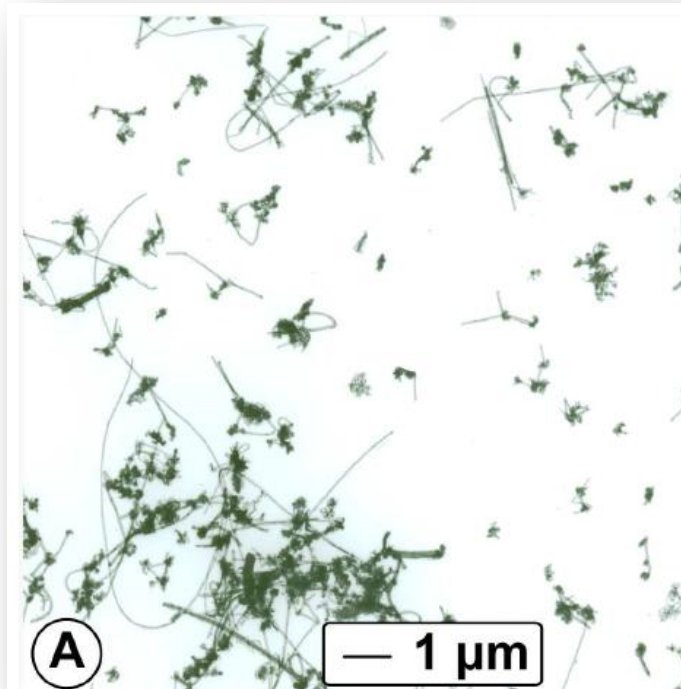
**MWCNT** sampled  
after opening of  
the furnace  
(Han et al. 2008)

# Real CNT Aerosol Particles



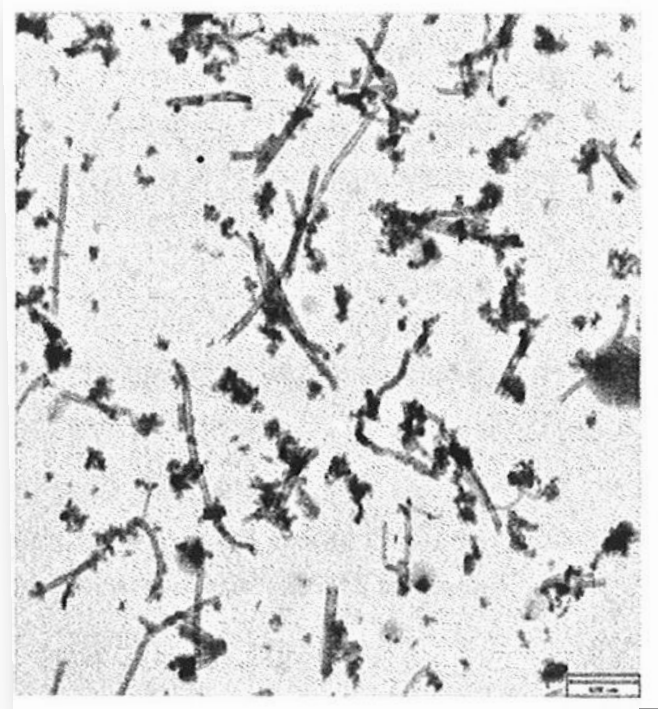
Type	SWCNT Agglomerates	'Fibre-like' MWCNT	MWCNT Agglomerates
Dimensions	~ 1 μm – 1 mm	~ 50 nm diameter < 10 μm in length	~ 0.5 μm – 5 μm
Density	100 – 1000 kg m <sup>-3</sup> (Maynard et al. 2004)	1740 ± 160 kg m <sup>-3</sup> (Kim et al. 2009)	100 – 1000 kg m <sup>-3</sup> (Hao et al. 2003)

# Acoustic Aerosol Generator



## Acoustic Generator

(McKinney et al, 2009)



## MWCNT Research Facility

(Han et al, 2008)

# Transport and deposition of CNT in the respiratory tract

## 'Sphere-like' particles (SWCNT & MWCNT)

*Wide range of aerodynamic diameters 10nm to > 100µm, low density. Current HRT deposition models probably adequate. Deposition in alveolar region max. 20%*

## 'Fibre-like' particles (MWCNT)

*Current fibre deposition models predict few% to few10s% alveolar deposition but use may be inappropriate. Alveolar deposition dominated by diffusion.*

Asbestos fibres – deposition levels may be similar for some particles but sedimentation rather than diffusion the dominant deposition mechanism.

**Detailed results to be published in Toxicology of Carbon Nanotubes, ed K Donaldson, Cambridge University Press, 2011**

# Health and Safety - Approach



- **Involvement of Health and Safety Advisor (from earliest stage, part of project team)**
- **Health and Safety Plan developed and monitored**
- **Top risks identified**
- **Control measures identified**
- **Wherever possible used hierarchy of control principles**

# Health and Safety – Regs/Advice



## Regulations

HSW 1974, IRR 1999, COSHH 2002

## Guidance Documents

HSE LEV

HSE Risk management of carbon nanotubes

EA Statement on carbon nanotube wastes

Others eg Nanosafe (esp re filters, gloves etc.)

## Advice

HSL, H&S consultants

## Environment Agency

‘Unbound carbon nanotubes to be treated as hazardous waste’



Health and Safety  
Executive

### Risk management of carbon nanotubes

This information sheet provides occupational health and safety guidance relating to the manufacture and manipulation of Carbon Nanotubes (CNTs).

#### Background

CNTs are molecular scale manufactured 3 dimensional forms of carbon, falling into two general groups:

- single walled (SWCNTs); and
- multiwalled (MWCNTs).

CNTs may be present as long, straight fibres or tangled bundles. CNTs can differ in terms of chemical composition; they may be pure carbon or contain metals or other materials, by design, through contamination or as a result of residual catalyst. They can be sixty times stronger than steel, yet six times lighter. CNTs have chemical, physical and bioactive characteristics of considerable research and commercial interest.

Occupational exposure to CNTs can occur:

- during manufacture;
- through incorporation in other materials, e.g. polymer composites, medical applications and electronics; and
- generating nanoparticles in non-enclosed systems
- during research into their properties and uses.
- cleaning of dust collection systems used to capture nanoparticles
- as a result of incorrect disposal
- as a result of accidental spillage

Emerging data indicates that when CNTs are breathed in they can cause lung inflammation and fibrosis. The type of CNT, its physical form and presence of impurities and surface modifications may influence the severity of the response but at present there is not enough information to identify which factors are of greatest concern. It is also not clear if inhaled CNT have a role in the development of adverse health effects at other sites in the body. There is an increasing body of evidence to suggest that CNTs and other nanomaterials with a long, thin and straight shape (referred to as high aspect ratio nanomaterials or HARN) may be particularly hazardous. However, there are insufficient data to confirm the health consequences of long-term repeated exposure.



# Hierarchy of control

- **Eliminate**
- **Substitute**
- **Technical/Engineering controls**
- **Organisational and administrative**
- **Personal Protective equipment**

# Control Measures I



- **Workplace Ventilation**
- **Glove boxes**
- **LEV**
- **Fume Cupboards**
- **HEPA filtration**
- **Animal transport boxes**
- **PPE**

# Control Measures II



- **Risk Assessments for task and processes**
- **Information, instruction and training**
- **SOPs and local rules**
- **Monitoring of workplace**

# Monitoring Airborne Concentrations of NP



## General

Direct measurement of airborne particulates

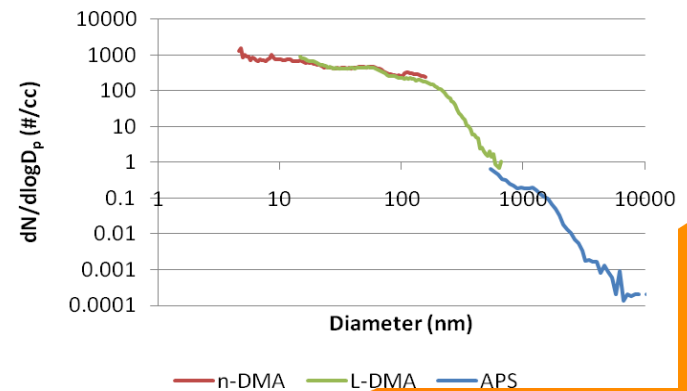
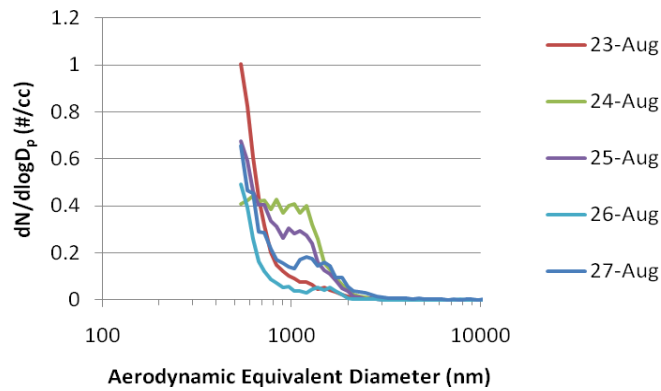
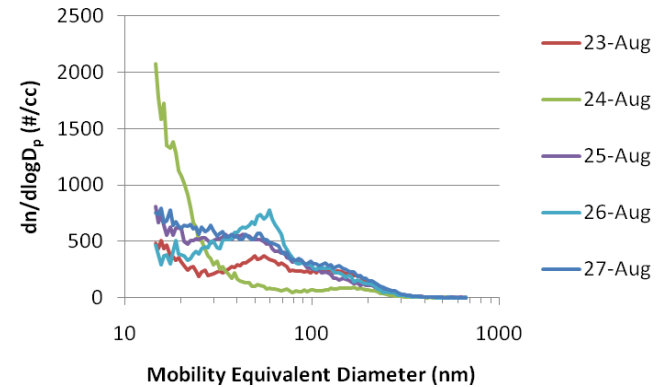
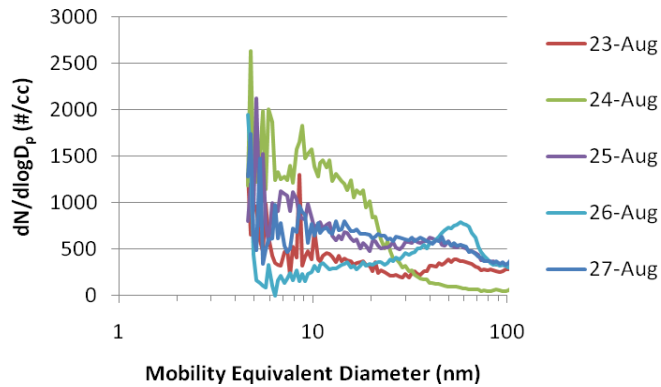
SMPS, APS

## Radioactive NP

Air sampling and counting

Key issues: background and identification

# Background Air Concentrations



# H&S Conclusions



**Involve H&S Advisor at earliest stage**

**Complex range of risks**

**Need for specialist advice (especially on air handling, extracts, filters etc.)**

# Concluding Remarks



## Overview of research activities at HPA's National Nanotoxicology Research Centre

### NNRC carbon nanotubes studies

### Approach to Health and Safety

# CRCE NNRC



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